



NCDEX Nidhi

Order Failure Codes (English)

Order Failure Codes – NCDEX Nidhi

Failure Code	Description	Order type
pan_invalid	Unable to verify PAN of investor. Provide correct PAN.	New purchase
pan_name_mismatch	Investor name provided for folio creation is not as per investor's PAN	New purchase
pan_aadhaar_not_mapped	Aadhaar not linked with the PAN of the investor	All
aadhaar_contact_mismatch	Email or mobile provided for folio creation is not as per investor's aadhaar. For aadhaar based KYC investors provide email and phone as per aadhaar during folio creation	New purchase
bank_invalid	Unable to verify bank account details of investor. Check investor name in bank account	Purchase
bank_ifsc_invalid	IFSC of the bank account is not valid	Purchase
tax_status_bank_mismatch	Investor's tax status does not match with bank account type	Purchase
contact_verification_failed	Email or mobile number provided in the folio details could not be verified. Provide a valid email or mobile number.	Purchase
nominee_age_invalid	Age of the nominee should be less than 100 and more than 0	New purchase

nominee_name_invalid	Investor and nominee name cannot be same	New purchase
account_closed_transferred	The investor's bank account has been closed or transferred as per the bank's rejection	Purchase
no_such_account	The bank account number provided does not exist	New purchase
account_blocked_closed	The bank account is either blocked or closed	New purchase
tax_status_bank_account_type_mismatch	There is a mismatch between the investor's tax status code and the bank account type	New purchase
title_of_account_required	The title of the bank account has not been provided	New purchase
title_of_account_wrong_incomplete	The title of the bank account is either wrong or incomplete	New purchase
nominee_details_not_provided	The nominee details have not been provided	New purchase
nominee_name_same_as_investor	The nominee name is the same as the investor's name	New purchase
fh_name_same_as_first_nominee	The first holder's name is the same as the first nominee's name	New purchase

fh_name_same_as_second_nominee	The first holder's name is the same as the second nominee's name	New purchase
nomination_details_incomplete	The nominee fields are mandatory and have not been completed	New purchase
nominee_email_invalid_format	The email address provided for Nominee 1 is in an invalid format	New purchase
incomplete_bank_details	The bank details provided are incomplete	New purchase
incomplete_guardian_details	The guardian details provided are incomplete	New purchase
incomplete_investor_address	The investor's address provided is incomplete	New purchase
investor_name_mismatch_fresh_additional	The investor name differs between the fresh and additional purchase requests	New purchase
bank_details_not_provided	Bank details have not been provided	New purchase
bank_details_missing	Bank details are missing from the request	New purchase
guardian_details_missing	Guardian details are missing from the request	New purchase
missing_nominee_details_when_guardian_pan_provided	Nominee name, minor flag, percentage, or relation is missing when guardian PAN is provided	New purchase
minor_pan_should_not_be_same_as_guardian_pan	Minor's PAN should not be the same as the guardian's PAN or third party payment check has to be 'N'	New purchase

invalid_missing_guardian_pan_number	Joint holders are not allowed for minor investments or guardian PAN number is invalid/missing	New purchase
primary_holder_name_is_missing	Primary holder name is missing	New purchase
invalid_or_missing_dob_for_minor_investments	Invalid or missing date of birth for minor investments	New purchase
invalid_missing_guardian_dob	Invalid or missing guardian date of birth	New purchase
invalid_or_missing_mode_of_holding	Invalid or missing mode of holding	New purchase
jh1_name_is_missing	Joint holder 1 name is missing	New purchase
invalid_missing_jh1_dob	Invalid or missing JH1 date of birth	New purchase
jh2_name_is_missing	Joint holder 2 name is missing	New purchase
invalid_missing_jh2_dob	Invalid or missing JH2 date of birth	New purchase
communication_address1_missing	Communication address line 1 is missing	New purchase
invalid_or_missing_communication_pincode	Invalid or missing communication pincode	New purchase
invalid_missing_investor_category	Invalid or missing investor category	New purchase
invalid_or_missing_investor_status	Invalid or missing investor status	New purchase

invalid_or_missing_occupation_code	Invalid or missing occupation code	New purchase
invalid_or_missing_us_canada_declaration_flag	Invalid or missing US/Canada declaration flag	New purchase
invalid_or_missing_nri_address_flag	Invalid or missing NRI address flag	New purchase
missing_invalid_nri_source_of_funds_account_number	Missing or invalid NRI source of funds account number	New purchase
invalid_or_missing_firc_certificate_status	Invalid or missing FIRC certificate status	New purchase
missing_nri_address1	Missing NRI address line 1	New purchase
missing_nri_city	Missing NRI city	New purchase
missing_nri_country	Missing NRI country	New purchase
missing_nri_pincode	Missing NRI pincode	New purchase
usa_canada_investors_not_allowed	Investors from USA or Canada are not allowed to invest	New purchase
usa_or_canada_investors_are_not_allowed_to_invest	USA or Canada investors are not allowed to invest	New purchase
address_not_provided	The address has not been provided in the request	New purchase
tax_status_mismatch	There is a mismatch in the tax status provided	New purchase

invalid_email_address	Invalid email address	New purchase
missing_or_invalid_mobile_number	Missing or invalid mobile number	New purchase
missing_or_invalid_email_id	Missing or invalid email ID	New purchase
2fa_delivery_failed	Two Factor Authentication - Email / SMS delivery failed	All
2fa_mode_is_missing_or_invalid	2FA mode is missing or invalid	New purchase
2fa_mobile_and_email_not_matching_with_amc_records	2FA mobile and email are not matching with AMC records	New purchase
2fa_mobile_provided_not_matching_with_amc_records	2FA mobile provided is not matching with AMC records	New purchase
2fa_email_provided_not_matching_with_amc_records	2FA email provided is not matching with AMC records	New purchase
missing_or_invalid_mobile_number_and_email_id	Missing or invalid mobile number and email ID	New purchase
invalid_mobile_declaration	Invalid mobile declaration	New purchase
invalid_email_declaration	Invalid email declaration	New purchase
primary_holder_and_j1_mobile_is_same	Primary holder and Joint Holder1 mobile is the same	New purchase
primary_holder_and_j1_email_is_same	Primary holder and Joint Holder1 email is the same	New purchase

primary_holder_j1_j2_mobile_is_same	Primary holder/Joint Holder1/Joint Holder2 mobile is the same	New purchase
primary_holder_j1_j2_email_is_same	Primary holder/Joint Holder1/Joint Holder2 email is the same	New purchase
invalid_j1_j2_mobile_declaration_flag	Invalid Joint Holder1/Joint Holder2 mobile declaration flag	New purchase
invalid_j1_j2_email_declaration_flag	Invalid Joint Holder1/Joint Holder2 email declaration flag	New purchase
joint_holders_are_not_allowed_for_non_individuals	Joint holders are not allowed for non-individuals	New purchase
missing_or_invalid_dob	Missing or invalid date of birth	New purchase
invalid_dob	Invalid date of birth	New purchase
invalid_dob_for_individual	Invalid DOB for individual	New purchase
missing_or_invalid_fh_dob	Missing or invalid First Holder date of birth	New purchase
missing_or_invalid_guardian_dob	Missing or invalid guardian date of birth	New purchase
missing_or_invalid_j1_dob	Missing or invalid Joint Holder 1 date of birth	New purchase
missing_or_invalid_j2_dob	Missing or invalid Joint Holder 2 date of birth	New purchase
sip_insured_is_allowed_age_between_18_to_51_years	SIP Insured is allowed for age between 18 to 51 years	SIP

sip_insure_is_allowed_age_between_18_to_55_years	SIP Insure is allowed for age between 18 to 55 years	SIP
dob_mandatory_for_sip_insure	DOB is mandatory for SIP Insure	SIP
tax_status_should_be_minor_for_this_scheme	Tax status should be minor for this scheme	New purchase
minor_attained_major	The minor investor has attained majority	New purchase
minor_major_attestation_required	The minor who has become a major unit holder requires attestation by guardian, donor, or banker	New purchase
minor_to_major_documents_missing	Documents required for the minor to major conversion are missing	New purchase
minor_major_signature_not_available	The signature of the minor who has turned major is not available in the request	New purchase
minor_major_guardian_signature_invalid	The minor has attained majority and the guardian's signature is no longer valid	New purchase
unit_holder_minor_guardian_signature_required	The unit holder is still a minor and requires the guardian or donor's signature	New purchase
minor_name_should_not_be_same_as_guardian_name	Minor name should not be the same as guardian name	New purchase
ph_mobile_email_missing	Both mobile and email are not provided for primary holder	All
ph_mobile_email_decl_flag_invalid	Mobile/email declaration flag is available but mobile/email is missing for primary holder	All

j1_mobile_email_missing_2fa	Mobile or email are not provided for joint holder 1 based on 2FA flag	All
j1_mobile_email_decl_invalid	Mobile/email declaration flag is invalid or mobile/email is missing for joint holder 1	All
j2_mobile_email_missing_2fa	Mobile or email are not provided for joint holder 2 based on 2FA flag	All
j2_mobile_email_decl_invalid	Mobile/email declaration flag is invalid or mobile/email is missing for joint holder 2	All
ph_j1_mobile_email_same	Primary holder and joint holder 1 have the same mobile and email combination	All
ph_j1_j2_mobile_email_same	Primary holder, joint holder 1, and joint holder 2 have the same mobile and email combination	All
spl_char_num_not_allowed_indiv_names	Special characters and numerics not allowed in primary holder/joint holder/nominee names for individuals	All
spl_char_not_allowed_non_indiv	Special characters not allowed in name for non-individual investors	All
j1_j2_details_should_be_blank_when_moh_is_single	Joint Holder 1/ Joint Holder 2 details should be blank when mode of holding is single	New purchase
ekyc_investor_eligibility_not_met	The eKYC investor does not meet the eligibility criteria	New purchase

physical_investment_ekyc_not_allowed	Physical investment is not allowed for eKYC investors or guardians	New purchase
missing_or_invalid_nri_sof_account_number	Missing or invalid NRI SOF account number	New purchase
invalid_nri_sof	Invalid NRI SOF	New purchase
special_chars_not_allowed_in_nri_sof	Special characters are not allowed in NRI SOF	New purchase
primary_holder_invalid	The details provided for the Primary Holder are not valid	New purchase
invalid_nominee_pan_format	Nominee PAN 4th letter should be P when nominee is an individual	New purchase
bank_account_mismatch	If the details are not up-to-date	New purchase



Order failure codes related to investor KYC

Failure Code	Description	Order type
pan_kyc_incomplete	KYC verification of the investor PAN is not completed	New purchase
kyc_aadhaar_invalid	Aadhaar no. in the information submitted for KYC verification is missing or invalid	New purchase
kyc_dob_mismatch	Date of birth provided for folio creation does not match with the information submitted for KYC verification	New purchase
kyc_name_mismatch	Name provided for folio creation does not match with the information submitted for KYC verification	New purchase
guardian_kyc_on_hold	Guardian's KYC status is currently on hold	New purchase
fh_kyc_not_available	First Holder's KYC is not available in any KRA	New purchase
jh1_kyc_not_available	Joint Holder 1's KYC is not available in any KRA	New purchase
jh2_kyc_not_available	Joint Holder 2's KYC is not available in any KRA	New purchase
guardian_kyc_not_available	Guardian's KYC is not available in any KRA	New purchase
fh_jh1_kyc_not_available	First Holder and Joint Holder 1's KYC is not available in any KRA	New purchase

first_holder_kyc_on_hold_invalid	First Holder's KYC status is on hold or invalid	New purchase
kyc_ack_letters_not_submitted	KYC acknowledgement letters have not been submitted	New purchase
kyc_not_provided	KYC documents have not been provided	New purchase
otm_rejection_miscellaneous_kyc_pending	One-Time-Mandate transaction rejected due to pending KYC documents	Purchase
lien_documents_not_received	The lien documents have not been received	All
documents_not_received	The required documents for the request have not been received/verified	Purchase
kyc_not_validated	The Holder's KYC is not in validated state	New purchase
first_holder_pan_missing	The PAN for the first holder has not been provided	New purchase
joint_holder_pan_missing	The PAN for the joint holder has not been provided	New purchase
pan_attestation_incomplete	The PAN attestation process has not been completed	New purchase
pan_copy_not_submitted_all_applicants	The PAN along with a copy of the PAN card has not been submitted for all applicants	New purchase
invalid_or_missing_primary_holder_pan_exempt_flag	Invalid or missing DOB for minor investments or invalid/missing primary holder PAN exempt flag	New purchase

invalid_or_missing_primary_holder_pan_details	Primary holder PAN details are invalid or missing	New purchase
invalid_or_missing_guardian_pan_exempt_flag	Guardian details should not be allowed for this tax status or guardian PAN exempt flag is invalid/missing	New purchase
invalid_or_missing_jh1_pan_exempt_flag	Invalid or missing mode of holding or JH1 PAN exempt flag	New purchase
invalid_or_missing_jh1_pan_details	JH1 name is missing or JH1 PAN details are invalid/missing	New purchase
invalid_or_missing_jh2_pan_exempt_flag	Invalid or missing JH1 DOB or JH2 PAN exempt flag	New purchase
invalid_or_missing_jh2_pan_details	JH2 name is missing or JH2 PAN details are invalid/missing	New purchase
pan_no_and_lei_code_combination_mismatch	LEI code is not available in the master or PAN and LEI code combination mismatch	New purchase
invalid_nominee_guardian_pan	Guardian is missing for the nominee minor or nominee guardian PAN is invalid	New purchase
fh_j1_j2_nominee_pans_should_not_be_same	First holder, JH1, JH2, and nominee PANs should not be the same or invalid DOB	New purchase
pan_4th_letter_is_not_p_h_else_re_verify_the_pan	PAN's 4th letter is neither 'P' nor 'H', else re-verify the PAN or invalid document type declaration	New purchase
missing_j1_j2_pan_pekrn	Missing JH1 or JH2 PAN/PEKRN or invalid/missing nominee declaration flag at CAN level	New purchase
ph_exempt_cat_or_pan_missing	Primary holder exempt category or PAN exempt KRA reference number is missing	All

guard_exempt_cat_missing	Guardian exempt category or KRA reference number is missing	All
jh1_exempt_cat_missing	Joint holder 1 exempt category or KRA reference number is missing	All
jh2_exempt_cat_missing	Joint holder 2 exempt category or KRA reference number is missing	All
h1_h2_pan_same	First holder PAN and second holder PAN should not be the same	All
h2_h3_pan_same	Second holder PAN and third holder PAN should not be the same	All
h1_h3_pan_same	First holder PAN and third holder PAN should not be the same	All
pan_p_must_be_individual	PAN 4th letter is 'P', status should be individual, else re-verify the PAN	All
pan_h_must_be_huf	PAN 4th letter is 'H', status should be HUF, else re-verify the PAN	All
pan_not_h_cant_be_huf	PAN 4th letter is not 'H', status should not be HUF, else re-verify the PAN	All
pan_not_p_cant_be_individual	PAN 4th letter is not 'P', status should not be individual, else re-verify the PAN	All

no_pan_amt_max_50k	Invalid or missing PAN, hence purchase amount cannot be more than Rs. 50,000	Purchase
nre_nro_not_for_resident	NRE/NRO bank account type not allowed for resident individuals	All
nri_needs_nre_nro_acct	NRE or NRO bank account details required for NRI investor	All
minor_guardian_reqd	Guardian details should not be blank for minor investments	All
guardian_not_allowed_tax_status	Guardian details should not be allowed for this tax status	All
minor_no_joint_holders	Joint holders are not allowed for minor investments	All
purchase_minor_only	Purchase allowed only for minor investors, not for individuals	Purchase
scheme_not_allowed_tax_age	Scheme is not allowed for this tax status as per age	All
dp_info_mismatch_folio	DP information not matching with RTA records for the given folio	All
allot_mode_demat_mismatch	Mismatch of allotment mode/demat details for folio-based transaction, hence rejected	All
multi_folio_same_scheme_dp_id	Multiple folios exist with same scheme/plan for DPID/BEN_ID	All

nom_blank_if_opt_y_na	Nominee details should be blank if nominee opt is Y/NA	All
nom_name_minor_pct_rel_missing	Missing nominee name or minor flag or percentage or relation	All
nom_guard_pan_blank_if_not_minor	Nominee guardian PAN should be blank when minor flag is N/NULL	All
nom_decl_flag_missing_can	Invalid or missing nominee declaration flag at CAN level	All
npo_dcl_form_y_n_trust_society	NPODCL/NPOFORM should be N or Y for trust or society	All
npo_form_y_n_non_indiv	NPOFORM/NPODCL should be Y or N for non-individuals	All
npo_rgno_missing_if_dcl_y	Missing NPO RGNO or NPO form should be Y when NPO_DCL is Y	All
euin_decl_reqd_subs	EUIN declaration should not be blank for subscriptions	All
amc_terms_consent_missing	Invalid or missing AMC terms and conditions consent flag	All
folio_and_pan_mismatch	Physical folio is not available or there is a folio and PAN mismatch	New purchase
folio_pan_mismatch_for_minors	Folio and PAN mismatch for minor investors	New purchase

folio_kra_ref_number_mismatch_other_than_minors	Folio and KRA reference number mismatch for other than minors	New purchase
folio_kra_ref_number_mismatch_for_minors	Folio and KRA reference number mismatch for minors	New purchase
lei_code_is_expired	LEI code has expired	New purchase
lei_code_is_not_available_in_the_master	LEI code is not available in the master	New purchase
invalid_or_missing_fatca_flag	Invalid or missing FATCA flag	New purchase
fatca_declaration_missing	The FATCA declaration is not available	New purchase
aadhaar_not_submitted_authenticated	The Aadhaar has not been submitted or has not been authenticated	New purchase
invalid_or_missing_kyc_flag	Invalid or missing KYC flag	New purchase
amount_should_not_exceeds_50000_for_e_kyc	Amount should not exceed Rs. 50,000 for e-KYC	New purchase
invalid_missing_fh_ckyc_no	Invalid or missing FHCKYCNO	New purchase
invalid_missing_j1_ckyc_no	Invalid or missing J1CKYCNO	New purchase
invalid_missing_j2_ckyc_no	Invalid or missing J2CKYCNO	New purchase
invalid_missing_gd_ckyc_no	Invalid or missing GDCKYCNO	New purchase
kyc_modification_required	Investor's KYC is not in validated state and a modification is required	New purchase
kyc_rejected_new_kyc_required	Investor's KYC has been rejected and either a fresh application/ modification is required	New purchase
kyc_not_verified	Investor's KYC is not verified and hence transaction rejected	New purchase

Order failure codes related to fund schemes

Failure Code	Description	Order type
amount_invalid	Order does not meet the min\max investment amount or multiples as per scheme master	All
units_invalid	Order does not meet the min\max investment units as per scheme master	Redemption, Switch
scheme_invalid	Order is not supported in the scheme. Check scheme master for order types supported	All
plan_scheme_date_invalid	Installment date selected for the purchase plan is not allowed in the scheme	Purchase plan
minor_only_investment_allowed	Only minor investor purchases are allowed in this scheme	New purchase
country_not_allowed	Country of investor is not allowed for investments in the scheme	New purchase
no_of_installments_invalid	No. of installments for the purchase plan as per the the scheme or frequency is not valid	Purchase plan
scheme_closed	The given scheme has been closed and is no longer accepting transactions	All
insufficient_balance_scheme_folio	Insufficient balance available in the scheme or folio to process the transaction	Redemption / Switch

Order failure codes related to fund schemes

Failure Code	Description	Order type
amount_invalid	Order does not meet the min\max investment amount or multiples as per scheme master	All
units_invalid	Order does not meet the min\max investment units as per scheme master	Redemption, Switch
scheme_invalid	Order is not supported in the scheme. Check scheme master for order types supported	All
plan_scheme_date_invalid	Installment date selected for the purchase plan is not allowed in the scheme	Purchase plan
minor_only_investment_allowed	Only minor investor purchases are allowed in this scheme	New purchase
country_not_allowed	Country of investor is not allowed for investments in the scheme	New purchase
no_of_installments_invalid	No. of installments for the purchase plan as per the the scheme or frequency is not valid	Purchase plan
scheme_closed	The given scheme has been closed and is no longer accepting transactions	All
insufficient_balance_scheme_folio	Insufficient balance available in the scheme or folio to process the transaction	Redemption / Switch
source_scheme_not_clear	The source scheme for the transaction is not clearly specified	All
switch_in_scheme_not_present	The switch-in scheme is not present or does not exist	Switch

switch_in_scheme_invalid	The switch-in scheme name has been wrongly mentioned	Switch
switch_out_scheme_invalid	The switch-out scheme name has been wrongly mentioned	Switch
switch_in_scheme_not_clear	The switch-in scheme is not clearly specified	Switch
switch_in_scheme_not_mentioned	The switch-in scheme has not been mentioned	Switch
scheme_invalid_non_individual	Non-individual investors are not permitted to invest in this scheme	All
invalid_or_missing_amc_code	Invalid or missing AMC code	All
invalid_or_missing_transaction_type	Invalid or missing transaction type	All
invalid_or_missing_scheme_code	Invalid or missing scheme code	All
invalid_or_missing_option_flag_reinv_tag	Invalid or missing option flag (REINV_TAG)	All
mismatch_in_scheme_plan_option_combination	Mismatch in scheme, plan, and option combination	All
invalid_or_missing_sip_new_flag	Invalid or missing SIP_NEW flag	SIP
invalid_or_missing_sub_transaction_type	Invalid or missing sub transaction type	New purchase
rejected_due_to_nfo_scheme_closed	Rejected due to NFO scheme being closed	New purchase
temporary_scheme_suspension	There is a temporary suspension of subscriptions in that particular scheme and cannot transact	All
scheme_not_eligible	The transaction got rejected due to non eligible scheme	All

Order failure codes related to payments

Failure Code	Description	Order type
order_expiry	Purchase order expired as payment not complete	Purchase
payment_failure	If using FP Payments, then payment for the order is either not created or failed	Purchase
payment_not_received	Payment for this order has not been recieved by the AMC. If any amount is debited then check refund status with the payment provider.	Purchase
mandate_payment_failed	Mandate associated with the order is invalid\not approved and hence payment failed	Purchase
mandate_cancelled	Mandate associated with the order is cancelled and hence payment failed	Purchase
mandate_pending_registration	The mandate is pending registration by the investor's bank	Purchase
mandate_unregistered	The mandate has been unregistered by the investor's bank	Purchase
mandatory_information_missing	Required mandatory information is missing from the request	Purchase
otm_rejection_invalid_umrn_inactive_mandate	One-Time-Mandate transaction rejected due to an invalid UMRN or inactive mandate	Purchase

otm_rejection_mandate_not_valid_for_debit	One-Time-Mandate transaction rejected as the mandate is not valid for debit transactions	Purchase
otm_rejection_mismatch_mandate_debtor_bank	One-Time-Mandate transaction rejected due to a mismatch in the mandate debtor's bank details	Purchase
otm_rejection_mismatch_mandate_currency	One-Time-Mandate transaction rejected due to a mismatch in the mandate currency	Purchase
otm_rejection_amount_exceeds_mandate_max	One-Time-Mandate transaction rejected as the amount exceeds the mandate's maximum limit	Purchase
otm_rejection_mandate_amount_mismatch	One-Time-Mandate transaction rejected due to a mismatch in the mandate amount	Purchase
otm_rejection_date_before_mandate_start_date	One-Time-Mandate transaction rejected as the transaction date falls before the mandate start date	Purchase
otm_rejection_date_after_mandate_end_date	One-Time-Mandate transaction rejected as the transaction date falls after the mandate end date	Purchase
otm_rejection_mandate_cancelled	One-Time-Mandate transaction rejected as the mandate has been cancelled	Purchase
otm_rejection_docs_pending_account_holder_major	One-Time-Mandate transaction rejected as documents are pending for an account holder who has turned major	Purchase
otm_rejection_account_inactive	One-Time-Mandate transaction rejected as the account has been inactive with no transactions for the last three months	Purchase

otm_rejection_dormant_account	One-Time-Mandate transaction rejected as the account is dormant with no transactions for the last six months	Purchase
otm_rejection_account_reached_max_debit_limit	One-Time-Mandate transaction rejected as the bank account has reached the maximum debit limit set by the bank	Purchase
otm_rejection_account_holder_expired	One-Time-Mandate transaction rejected as the account holder has expired	Purchase
frequency_of_payment_not_mentioned	The frequency of payment has not been specified	SIP
late_debit_failure	The late debit calling process has failed	Purchase / SIP
payment_stopped_court_order	Payment has been stopped due to a court order	Purchase
invalid_otm_registration	The mandate registration is invalid	SIP
otm_rejection_amount_exceeds_bank_limit	One-Time-Mandate transaction rejected as the amount exceeds the limit set on the account by the bank for debit per transaction	SIP
missing_investor_bank_details	Investor bank details are missing	New purchase
missing_deposit_bank_details	Deposit bank details are missing	New purchase
invalid_missing_deposit_date	Invalid or missing deposit date	New purchase
payout_bank_proof_not_available	Proof for the payout bank details is not available	Redemption

invalid_or_missing_ifsc_code	Invalid or missing IFSC code	New purchase
invalid_bank_name	The bank name provided is invalid	New purchase
invalid_bank_acno	Invalid bank account number	New purchase
missing_or_invalid_payinbankactype	Missing or invalid PayinBankAcType	New purchase
missing_or_invalid_payinbankifsc	Missing or invalid PayinBankIFSC	New purchase
missing_or_invalid_payinbankname	Missing or invalid PayinBankName	New purchase
special_chars_not_allowed_in_account_no	Special characters are not allowed in account number	New purchase
special_chars_not_allowed_in_dep_acno	Special characters are not allowed in DEP_ACNO	New purchase
cheque_dishonoured	The cheque has been dishonoured or the collection has not been realised	New purchase
cheque_missing	The cheque is missing from the submission	New purchase
cheque_amount_mismatch	The cheque amount in words and figures differs	New purchase
signature_not_available_cheque	The signature is not available on the cheque	New purchase
drawer_signature_differs	The drawer's signature does not match the records	New purchase
drawer_signature_illegible	The drawer's signature is illegible and cannot be verified	New purchase

drawer_signature_required	The drawer's signature is required but has not been provided	New purchase
drawer_signature_not_received	The drawer's signature required to operate the account has not been received	New purchase
drawer_authority_not_received	The drawer's authority to operate the account has not been received	All

Other failure codes related to order processing

Failure Code	Description	Order type
multiple_tax_statuses	Investor has different tax statuses across folios. Update latest tax status in all folios of the AMC.	Purchase
folio_nominee_opt_in_missing	Investor has not declared nomination for the folio. Either add nominees or opt out of nomination before submitting another order.	All
demat_folio_order_not_allowed	Redemption or switch orders in demat folios are not allowed via RTA channel partner account. They should be placed via depository using the DP account	Redemption, Switch
fatca_missing	Investor FATCA details are not available with AMC. Contact FP operations	Purchase
ekyc_investment_amount_limit_exceeded	Order amount exceeded investment limit for Aadhaar\ekYC investors. Investor can place order upto Rs. 50,000 per annum per AMC only	Purchase
nomination_declaration_missing	Nomination opt in or out for the folio associated with the order is missing	All

folio_contact_already_in_use	Email/mobile used for a folio creation is already linked to another PAN no. Investor needs to declare nominee relation details in the previously used PAN.	New purchase
consent_missing	2FA consent from investor is missing for the order	All
consent_contact_mismatch	Mobile number or email on which investor consent for the order was taken does not match with the folio contact details	All
consent_mobile_mismatch	Mobile number on which investor consent for the order was taken does not match with the folio contact details	All
consent_email_mismatch	Email on which investor consent for the order was taken does not match with the folio contact details	All
folio_balance_insufficient	Balance in the folio and scheme are not sufficient for the order	Redemption, Switch
folio_balance_under_lock	Balance in the folio and scheme are under lock in and not sufficient for the order	Redemption, Switch
folio_balance_zero	Balance in the folio and scheme is zero	Redemption, Switch
folio_balance_marked_lien	Balance cannot be redeemed as it is marked lien	Redemption, Switch
folio_closed	Orders in a consolidated folio are not allowed	Purchase

license_code_invalid	Your license code with the RTA is invalid. Also verify that the order's scheme plan_type (i.e regular\direct) is valid for your license code	All
amc_inactive	AMC under your RTA account is not activated. Activate AMC in your RTA account. Additionally check e-log status if order gateway us RTA - CAMS.	All
cancelled_by_investor	Order was cancelled from processing upon a request from investor	All
order_expiry	Order expired as it is not confirmed by investor	Redemption, Switch
order_gateway_failure	Order failed at the gateway due to unknown reasons	All
technical_failure_at_gateway	Order failed due to technical issues with the gateway system like RTA	All
folio_locked	Folio has been locked due to an IT notice and cannot process transactions	All
prospect_folio_third_party_verification_missing	Third party verification has not been completed for the prospect folio	All
folio_investor_name_mismatch	The investor name does not match the name associated with the folio	All
folio_number_invalid	The folio number mentioned in the request is incorrect or invalid	All
folio_blocked_invalid	The folio is either blocked or invalid and cannot process transactions	All

folio_not_mentioned	The folio number has not been provided in the request	Redemption / Switch
folio_to_folio_switch_not_permitted	Switching transactions between two folios is not permitted	Switch
online_folio_transaction_route_distributor	Online folio transactions must be routed through the Online Distributor	All
folio_contact_same_as_distributor	The First Holder's email or mobile number matches the distributor's or EUIN's contact details	New purchase
transaction_amount_units_below_min	The transaction amount or units is less than the minimum value limit	All
switch_transactions_not_allowed_non_ssip	Switch transactions are not permitted for schemes other than SSIP	Switch
balance_insufficient	Payer not maintaining sufficient balance in the account	SIP
consol_pan_amt_over_25k	Consolidated amount for same PAN cannot exceed Rs. 25,000	All
consol_pan_amt_over_2500	Consolidated amount for same PAN cannot exceed Rs. 2,500	All
accum_pan_amt_over_25k	Accumulated at PAN level amount should not exceed Rs. 25,000	All
accum_pan_amt_over_2500	Accumulated at PAN level amount should not exceed Rs. 2,500	All
pan_amt_over_eb_limit	Cumulative PAN level amount crossing EB eligible limit	All

micr_missing_ecs	Invalid or missing MICR code where PAY_MEC selected as ECS	All
pwr_goal_no_switch	For power goal investments, switches are not allowed	Switch
pwr_goal_no_syst_wdrl	For power goal investments, systematic withdrawals are not allowed	SWP
goal_amt_lt_install_amt	Goal amount should not be less than installment amount	All
subs_amt_eq_install_amt	Subscription amount and installment amount must be the same	All
partial_units_amt_missing	Missing units or amount for partial redemption or switch transaction	Redemption/Switch
consol_folio_no_txn	Consolidated out folio, hence no further transaction will be allowed	All
acct_frozen_check_unit	Account is frozen for reason, please check with unit	All
demat_restrict_bnp_bob_merge	Restriction of demat transactions due to BNP-BOB merger	All
idbi_mf_txn_blocked_merger	Transactions in IDBI MF are not allowed due to the merger of AMC	All
syst_txn_serial_num_reqd	Registration serial number should not be blank for systematic transactions	Systematic
syst_txn_freq_invalid	Invalid or missing frequency for systematic transactions	Systematic
syst_txn_reg_date_invalid	Invalid or missing registration date for systematic transactions	Systematic

syst_txn_start_date_invalid	Invalid or missing start date for systematic transactions	Systematic
syst_txn_end_date_invalid	Invalid or missing end date for systematic transactions	Systematic
syst_txn_start_end_same	Start date and end date should not be same for systematic transactions	Systematic
syst_txn_start_gt_end	Start date should be less than end date for systematic transactions	Systematic
syst_txn_start_lt_reg	Start date should not be less than registration date for systematic transactions	Systematic
syst_txn_amt_reqd	Installment amount should not be blank for systematic transactions	Systematic
sip_end_not_29_30_31	SIP end date (day part) should not be 29th, 30th, or 31st	SIP
sip_start_not_29_30_31	SIP start date (day part) should not be 29th, 30th, or 31st	SIP
sip_start_within_6mo	SIP start date should be within 6 months from the current date	SIP
sip_freq_invalid	Invalid SIP frequency, should be daily, weekly, monthly, quarterly, or yearly	SIP
sip_freq_mthly_qtrly_daily_wkly	Invalid SIP frequency, should be monthly, quarterly, daily, or weekly	SIP
sip_cancel_already_initiated	SIP cancellation request already initiated by the investor	SIP
min_2_install_freq	Minimum installments required: 2 for the selected frequency	SIP

min_4_install_freq_amt	Minimum installments required: 4 for the selected frequency and amount	SIP
min_6_install_freq_amt	Minimum installments required: 6 for the selected frequency and amount	SIP
min_12_install_freq_amt	Minimum installments required: 12 for the selected frequency and amount	SIP
min_60_install_freq_amt	Minimum installments required: 60 for the selected frequency and amount	SIP
tax_saver_sip_mult_500	For tax saver SIP, amount should be multiples of Rs. 500	SIP
weekly_12mo_min_if_lt_500	Minimum 12 months for weekly frequency below Rs. 500 amount	SIP
install_96_120_144_180_240_300_360	Number of installments should be 96, 120, 144, 180, 240, 300, or 360	SIP
tenure_8_10_12_15_20_25_30_yrs	SIP start/end dates tenure must be 8, 10, 12, 15, 20, 25, or 30 years	SIP
pragati_sip_monthly_only	Only monthly frequency allowed in Pragati SIP schemes	SIP
pragati_no_addl_purch_with_sip	Additional purchase with SIP is not allowed in Pragati SIP	SIP
wed_or_min_gap_invalid	Start/end date should always be Wednesday or invalid minimum gap between registration date	Systematic
min_10_calday_gap_not_met	Minimum of 10 calendar days gap not fulfilled (days difference)	All
ins_min_1500_qtrly	For insurance, minimum amount is Rs. 1,500 for quarterly frequency	SIP

min_4_install_freq_amt	Minimum installments required: 4 for the selected frequency and amount	SIP
min_6_install_freq_amt	Minimum installments required: 6 for the selected frequency and amount	SIP
min_12_install_freq_amt	Minimum installments required: 12 for the selected frequency and amount	SIP
min_60_install_freq_amt	Minimum installments required: 60 for the selected frequency and amount	SIP
tax_saver_sip_mult_500	For tax saver SIP, amount should be multiples of Rs. 500	SIP
weekly_12mo_min_if_lt_500	Minimum 12 months for weekly frequency below Rs. 500 amount	SIP
install_96_120_144_180_240_300_360	Number of installments should be 96, 120, 144, 180, 240, 300, or 360	SIP
tenure_8_10_12_15_20_25_30_yrs	SIP start/end dates tenure must be 8, 10, 12, 15, 20, 25, or 30 years	SIP
pragati_sip_monthly_only	Only monthly frequency allowed in Pragati SIP schemes	SIP
pragati_no_addl_purch_with_sip	Additional purchase with SIP is not allowed in Pragati SIP	SIP
wed_or_min_gap_invalid	Start/end date should always be Wednesday or invalid minimum gap between registration date and start date	Systematic
min_10_calday_gap_not_met	Minimum of 10 calendar days gap not fulfilled (days difference)	All

ins_min_1500_qtrly	For insurance, minimum amount is Rs. 1,500 for quarterly frequency	SIP
min_5k_yearly_freq	Minimum installment amount is Rs. 5,000 for yearly frequency	SIP
ins_not_daily_weekly	SIP insure is not allowed for daily or weekly frequency	SIP
order_failure_at_gateway	The order has been failed at the gateway due to some technical reasons	All
tpv_failure	Third party validation has been failed and hence the order rejected	All
payment_not_recieved	Funds not received for the transaction and hence RTA rejected the transaction	Purchase / SIP
folio_balance_under_lock_in	The holdings balance under the folio are currently locked in and hence redemption/ switch is not permitted	Redemption / Switch
transaction_amount_below_value_limits	The transaction amount falls below the allowed value limits	All
transaction_not_allowed_during_period	The transaction is not permitted during the current period	All
transaction_not_allowed_banned_country	The transaction is not permitted as the investor is from a banned country	New purchase
transaction_reversed	The transaction has been reversed based on instructions from the investor's banker or service provider	All
signature_invalid	The signature is either not available or does not match the records	All

missing_signature_switch_fh_sh	The first and second holders have not signed the switch request	Switch
missing_signature_redemption_fh_sh	The first and second holders have not signed the redemption request	Redemption
signature_differ_switch_fh_sh	The first and second holders' signatures differ in the switch request	Switch
second_holder_signature_mismatch	The second holder's signature does not match the records	All
first_holder_signature_mismatch	The first holder's signature does not match the records	All
third_holder_signature_mismatch	The third holder's signature does not match the records	All
first_third_holder_signature_mismatch	The first and third holders' signatures do not match the records	All
guardian_signature_issue	The guardian's signature is either mismatched or not provided	All
donor_signature_issue	The donor's signature is either mismatched or not provided	All
signature_not_available_asl	The signature is not available in the ASL records	All
signatures_all_holders_mismatch	The signatures of all the holders do not match the records	All
authorised_signatories_not_signed_br	The authorised signatories have not signed as per the board resolution	All
units_not_specified	The required units have not been specifically mentioned in the request	Redemption / Switch

revised_feed_required_all_units	A revised feed is required to process the transaction for all units	Redemption / Switch
units_amount_differ	There is a discrepancy between the units and amount mentioned	Redemption / Switch
units_marked_under_lien	The units are marked under lien and cannot be transacted	Redemption / Switch
units_under_lock_in	The units are under a lock-in period of 3 years from the date of allotment and cannot be transacted	Redemption / Switch
data_capture_error	An error occurred during data capture and requires rectification	All
folio_consolidated_out	The respective folio has been consolidated to another folio, and hence additional transactions are not allowed in this folio	All



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